

Navigating the Data Soup: Web3, AI, and the Future of Healthcare Data

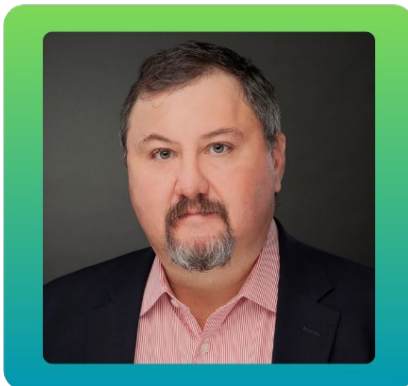
April 1, 2026



Theron Feist (Moderator)
Director, Office of Information Technology
Johns Hopkins University School of Medicine



Elaine Hamm
Executive Director
Alliance for Artificial Intelligence in Healthcare, powered by
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Executive in Residence at the Tulane School of Medicine



Rick Silva, PhD
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Collaborations
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A Stronger Next Chapter

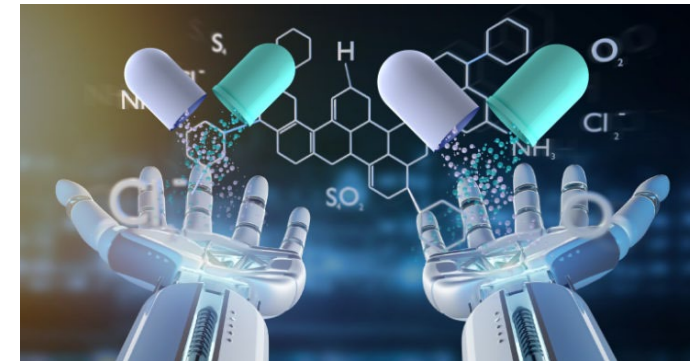
AAIH: A Global Community



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Responsible AI in Healthcare + Life Sciences



The Next Chapter



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AAIH powered by Connect

- Global mission
- Stronger platform
- Greater visibility, legacy and reach

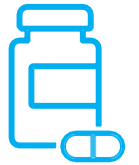
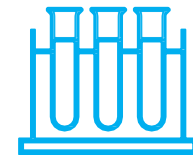
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- Thought leadership
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TEXAS A&M HEALTH
Institute of Biosciences
and Technology

Rick Silva, PhD, MBA, CLP

Institute for Bioscience and Technology
2121 W. Holcombe Blvd., Room 1016A
Mail Stop 1201
Houston, Texas 77030

TMC³
7255 Helix Park Ave, 2nd Floor
Houston, TX 77030

Health Sciences Center
Clinical Building 1, Suite 3100
8441 Riverside Parkway
Bryan, TX 77845

Lab
Reynolds Building, Suite 344
206 Olsen Blvd
College Station, TX 77807

Clinic
2900 E 29th St
Bryan, TX 77802

☎ Office: (979) 436-9055

✉ clinicalresearchhou@tamu.edu
precisionmedicine@tamu.edu
preventcancer@tamu.edu
pharmacogenomics@tamu.edu



Texas A&M Clinicogenomics CORE



Dr. Kenneth Ramos



Dr. Rick Silva



Dr. Gabriel Neal



Dr. Marcia Ory



Dr. Fen Wang



Dr. Jason McKnight



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✉ clinicalresearchhou@tamu.edu
precisionmedicine@tamu.edu
preventcancer@tamu.edu
pharmacogenomics@tamu.edu



AFFILIATIONS

- Executive Director | Clinical | Translational | Industry Collaborations, Texas A&M University Health
- Director, TAMU Cancer Research and Prevention Institute of Texas, TREC Clinicogenomics Core
- Director, Pharmacogenomics Center for Genomic & Precision Medicine | Institute for Bioscience & Technology
- Asst. Professor, Department of Translational Medical Sciences



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☎ Office: (979) 436-9055

✉ clinicalresearchhou@tamu.edu
precisionmedicine@tamu.edu
preventcancer@tamu.edu
pharmacogenomics@tamu.edu



DISCLOSURES

Paid consultant: Procyon Technologies, BioPath Holdings Inc

Shareholder: Mysten, SaharaAI, Generate BioMedicines, Surge Tx,
Atom Computing, CADRx Precision Medicines, Zus Health

Advisor/collaborator: Geneial, MyEngine (inactive)

Executive Committee and Steering Committee: NCT02990793

The Idealized ClinicoGenomic Registry

Concept

A idealized clinicogenomic registry provides biospecimens, genetic, and regulatory-grade clinical annotation across the patient journey. The clinicogenomic registry presents data governance challenges that impede the flow of case level genomic data and case level clinical annotation from institutions to users such as policy agencies, researchers, drug developers, insurers, and the healthcare industry. The Texas A&M *Provenance Platform* is being developed to use digital technologies to enable a more dynamic model of patient-centric data governance in clinicogenomics registries.



Academic Medical Centers as Innovation Ecosystems: Evolution of Industry Partnership Models Beyond the Bayh-Dole Act

Patrick J. Silva, PhD, MBA, and Kenneth S. Ramos, MD, PhD



Abstract

Innovation ecosystems tied to academic medical centers (AMCs) are inextricably linked to policy, practices, and infrastructure resulting from the Bayh-Dole Act in 1980. Bayh-Dole smoothed the way to patenting and licensing new drugs and, to some degree, medical devices and diagnostic reagents. Property rights under Bayh-Dole provided significant incentive for industry investments in clinical trials, clinical validation, and industrial scale-up of products that advanced health care. Bayh-Dole amplified private investment in biotechnology drug development and, from the authors' perspective,

did not significantly interfere with the ability of AMCs to produce excellent peer-reviewed science. In today's policy environment, it is increasingly difficult to patent and license products based on the laws of nature—as the scope of patentability has been narrowed by case law and development of a suitable clinical and business case for the technology is increasingly a gating consideration for licensees. Consequently, fewer academic patents are commercially valuable. The role of technology transfer organizations in engaging industry partners has thus become increasingly complex. The partnering toolbox and organizational

mandate for commercialization must evolve toward novel collaborative models that exploit opportunities for future patent creation (early drug discovery), data exchange (precision medicine using big data), cohort assembly (clinical trials), and decision rule validation (clinical trials). These inputs contribute to intellectual property rights, and their clinical exploitation manifests the commercialization of translational science. New collaboration models between AMCs and industry must be established to leverage the assets within AMCs that industry partners deem valuable.

In the 1960s and 1970s, there was little transfer of technology from U.S. government-funded academic labs to the private sector, largely because the government owned patents resulting from federally funded research and had a policy to only engage in nonexclusive licensing.¹ There were no financial incentives for the private sector to make investments in research, development, or clinical trials—as subsequent nonexclusive licensees had the ability to enter the market after a pioneer, avoiding the risks of failure. The Bayh-Dole Act (P.L. 96-517, also

known as the University and Small Business Patent Procedures Act of 1980, or the Patent and Trademark Act Amendments of 1980) was designed to incentivize private investment. Bayh-Dole effectively required institutions receiving federal grants to administer their assets, giving rise to technology transfer offices and creating a path for private investment in translating scientific knowledge into societal impacts. Indeed, Bayh-Dole has been particularly effective in catalyzing investment in products spawned by biotechnology research in academia. As illustrated by Press,² science suffers an “appropriability conundrum,” meaning that the rewards of scientific research are not easily or directly recovered by the investors in that research. Consequently, this conundrum tips the balance of private research and development (R&D) investment toward “D,” where investments are more directly appropriable to commercial outcomes. The appropriability conundrum and research investment in academic science were precisely the problems Bayh-Dole was designed to solve (at least for research spawning a subset of selected ideas worthy of patent protection and downstream investment). Effectively, Bayh-Dole

created a mechanism to privatize select assets of high potential and enable their delivery to the marketplace.

The Positive Impact of Bayh-Dole

Let's begin with an example. Protropin is likely the first drug approved involving use of university technology rights, though the specifics were litigated between the University of California, San Francisco School of Medicine and Genentech for over a decade.^{3,4} The key issue of contention was whether tangible research materials (expression vectors encoding human growth hormone) and the patents⁵ that arose to cover these materials were made independently by scientists who worked for either of these organizations. The protropin case exemplifies the power and potential of academic-biotech collaborations but also the utility of thoughtful, forward-looking collaboration agreements between academic medical centers (AMCs) and biomedical corporations. We argue that research institutions sometimes operate as biotechnology enterprises and beyond the so-called precompetitive space, bringing commercial considerations into research contracting.

P.J. Silva is executive director, Biomedical Corporate Alliances, Office of the Senior Vice President for Health Sciences, University of Arizona, Tucson, Arizona.

K.S. Ramos is professor of medicine, associate vice president for precision health sciences, director, Center for Applied Genetics and Genomic Medicine, and director, MD-PhD Program, University of Arizona, Tucson, Arizona.

Correspondence should be addressed to Patrick J. Silva, Drachman Hall, Room 8207, 1295 North Martin Ave., P.O. Box 210202, Tucson, AZ 85721-0202; e-mail: nicksilva@email.arizona.edu.

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Article

An Idealized Clinicogenomic Registry to Engage Underrepresented Populations Using Innovative Technology

Patrick Silva ^{1,*}, Deborah Vollmer Dahlke ², Matthew Lee Smith ², Wendy Charles ³, Jorge Gomez ¹, Marcia G. Ory ² and Kenneth S. Ramos ¹

¹ Health Science Center, Texas A&M University, 8441 Riverside Pkwy Bryan, TX 77807, USA; jorgegomez@tamu.edu (J.G.); kramos@tamu.edu (K.S.R.)

² School of Public Health, Texas A&M Health Science Center, 212 Adriane Lab Rd., College Station, TX 77843, USA; deborahvd@gmail.com (D.V.D.); matthew.smith@tamu.edu (M.L.S.); mory@tamu.edu (M.G.O.)

³ BurstIQ, 9635 Maroon Circle, #310, Englewood, CO 80112, USA; wendy.charles@burstiq.com

* Correspondence: ricksilva@tamu.edu; Tel: +1-979-436-9055

Abstract: Current best practices in tumor registries provide a glimpse into a limited time frame over the natural history of disease, usually a narrow window around diagnosis and biopsy. This creates challenges meeting public health and healthcare reimbursement policies that increasingly require robust documentation of long-term clinical trajectories, quality of life, and health economics outcomes. These challenges are amplified for underrepresented minority (URM) and other disadvantaged populations, who tend to view the institution of clinical research with skepticism. Participation gaps leave such populations underrepresented in clinical research and, importantly, in policy decisions about treatment choices and reimbursement, thus further augmenting health, social, and economic disparities. Cloud computing, mobile computing, digital ledgers, tokenization, and artificial intelligence technologies are powerful tools that promise to enhance longitudinal patient engagement across the natural history of disease. These tools also promise to enhance engagement by giving participants agency over their data and addressing a major impediment to research participation. This will only occur if these tools are available for use with all patients. Distributed ledger technologies (specifically blockchain) converge these tools and offer a significant element of trust that can be used to engage URM populations more substantively in clinical research. This is a crucial step toward linking composite cohorts for training and optimization of the artificial intelligence tools for enhancing public health in the future. The parameters of an idealized clinical genomic registry are presented.

Keywords: genomic; registry; chronic disease; health disparity; electronic medical record; cancer



Citation: Silva, P.; Dahlke, D.V.; Smith, M.L.; Charles, W.; Gomez, J.; Ory, M.G.; Ramos, K.S. An Idealized Clinicogenomic Registry to Engage Underrepresented Populations Using Innovative Technology. *J. Pers. Med.* 2022, 12, 713. <https://doi.org/10.3390/jpm12050713>

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The **PROVENANCE** model transitions health data management from static "electronic filing cabinets" to a dynamic, digitally-validated supply chain. While it does not replace legacy legal frameworks (DUAs), it empowers participants with **real-time auditability**, blockchain-based accountability, and granular patient agency.

Legacy Processes: The "Honor System" Era

Manual & Sequential Workflows



Serial processes often take months and are vulnerable to management gaps.

Retroactive Forensic Auditing

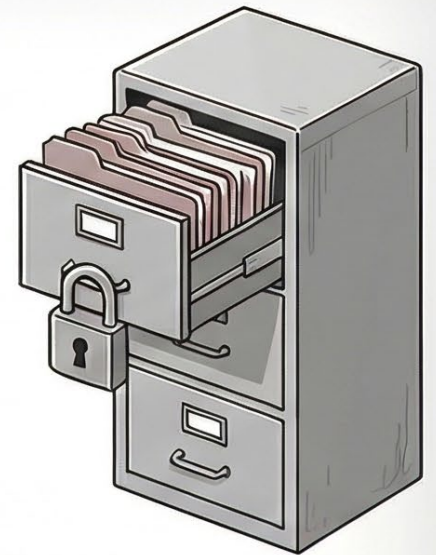


Audits are tedious manual exercises with no visibility for data administrators.

Limited Privacy & Consent



Rely on assumed project-level consent and irreversible de-identification tools.



PROVENANCE Architecture: The "Trust Anchor"



Category	Legacy Model	PROVENANCE Model
Compliance Posture	Retroactive & manual audits	Automated, real-time blockchain ledger
Data Visibility	Static 'Electronic Filing Cabinets'	Dynamic 'Supply Chain' management
Trust Model	'Honor System' DUAs	Digitally enforced smart contracts

THE PROVENANCE MODEL DOES NOT REPLACE LEGACY DUA AND DATA ADMIN PROCESSES, ONLY EMPOWERS PARTICIPANTS WITH DIGITAL TOOLS AND TRANSPARENCY.

Real-Time Risk & Revocation



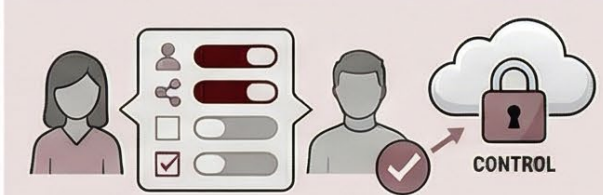
Enables parallel evaluation of risks and immediate digital revocation of access.

Immutable Blockchain Ledger



Captures all digital interactions by administrators and researchers on a ledger.

Dynamic Patient Agency



Provides granular control over data sharing and real-time consent validation.



HEALTH

PROVENANCE: From Assumed Compliance to Digitally Enforced Integrity

An AI-native integrity infrastructure managing the clinicugenomic data supply chain, replacing legacy "honor systems" with blockchain, smart contracts, and DRM for real-time, granular control.

LEGACY SYSTEMS: ASSUMED COMPLIANCE (HONOR SYSTEM)



'Electronic Filing Cabinets'



MANUAL DUA MONITORING
STATIC TABLE/FILE LEVEL ACCESS

Legacy Data Use Agreements rely on "honor systems".



NON-COMPLIANT ACCESS POSSIBLE, LEGAL RECOURSE POST-HDC

	FEATURE	LEGACY DATA WAREHOUSE	PROVENANCE PLATFORM
1	Compliance	Assumed (Honor System)	Digitally Validated & Enforced
2	Access Control	Static Table/File Level	Granular (Variable/Patient/Study)
3	Governance	Manual DUA Monitoring	Automated Smart Contracts & DRM

DATA SUPPLY CHAIN: SPECIMEN TO PUBLICATION



SPECIMEN COLLECTION



BARCODE-TO-HASH BLOCKCHAIN TRACKING

Metadata is tracked via cryptographic hashes from initial collection to final research publication.



REGULATORY ON/OFF SWITCH

OFF ON

INTEGRATED DIGITAL RIGHTS MANAGEMENT (DRM)

PROVENANCE PLATFORM: DIGITALLY ENFORCED INTEGRITY

RESEARCH MODULE



GRANULAR PERMISSION CONTROLS
(Stakeholders modify permissions at variable/patient level)



ADMIN MODULE
DIGITALLY VALIDATED INSTITUTIONAL PROVENANCE
(Validated ledger of data lineage, compliance, usage)



PUBLICATION & RESEARCH CREDIT

Solving the "Appropriability Conundrum": End-to-end visibility ensures patients and institutions gain agency and credit for contributions.

SMART CONTRACTS
digitally enforce terms, block non-compliant access instantly.

DATA SOVEREIGNTY PILLARS



RESEARCHER
Empowered Data Access



PATIENT
Consent & Agency



INSTITUTIONAL ADMINISTRATOR
Compliance & Governance

ADMINISTRATIVE WORKFLOW AND DATA GOVERNANCE



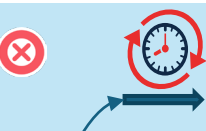
HONEST
BROKER

INTAKE

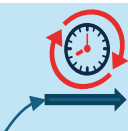


REVIEW

- ✓ Scientific Merit/Alignment w/ Priorities
- ✓ Human Subjects Determination



ITERATION



APPROVAL

A digital consent contract is **added** to the ledger for patient data

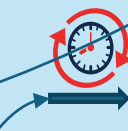
SUSPENSION

A digital consent contract is **removed** from the ledger for patient data

HSPO/
IRB



- ✓ Ethical reviews
- ✓ Auxiliary Reviews
- ✓ Continuing Review



A digital consent contract is **added** to the ledger for patient data

✗ Violations/Deviations



A digital consent contract is **removed** from the ledger for patient data

PRIVACY/
COMPLIANCE



- ✓ Deidentification Validation
- ✓ Review Data Schema



A digital consent contract is **added** to the ledger for patient data



A digital consent contract is **removed** from the ledger for patient data

RESEARCHER



- ✓ Workscope
- ✓ Competitive Implications
- ✓ Limitations on Use

Ping workflows per checkpoint limits

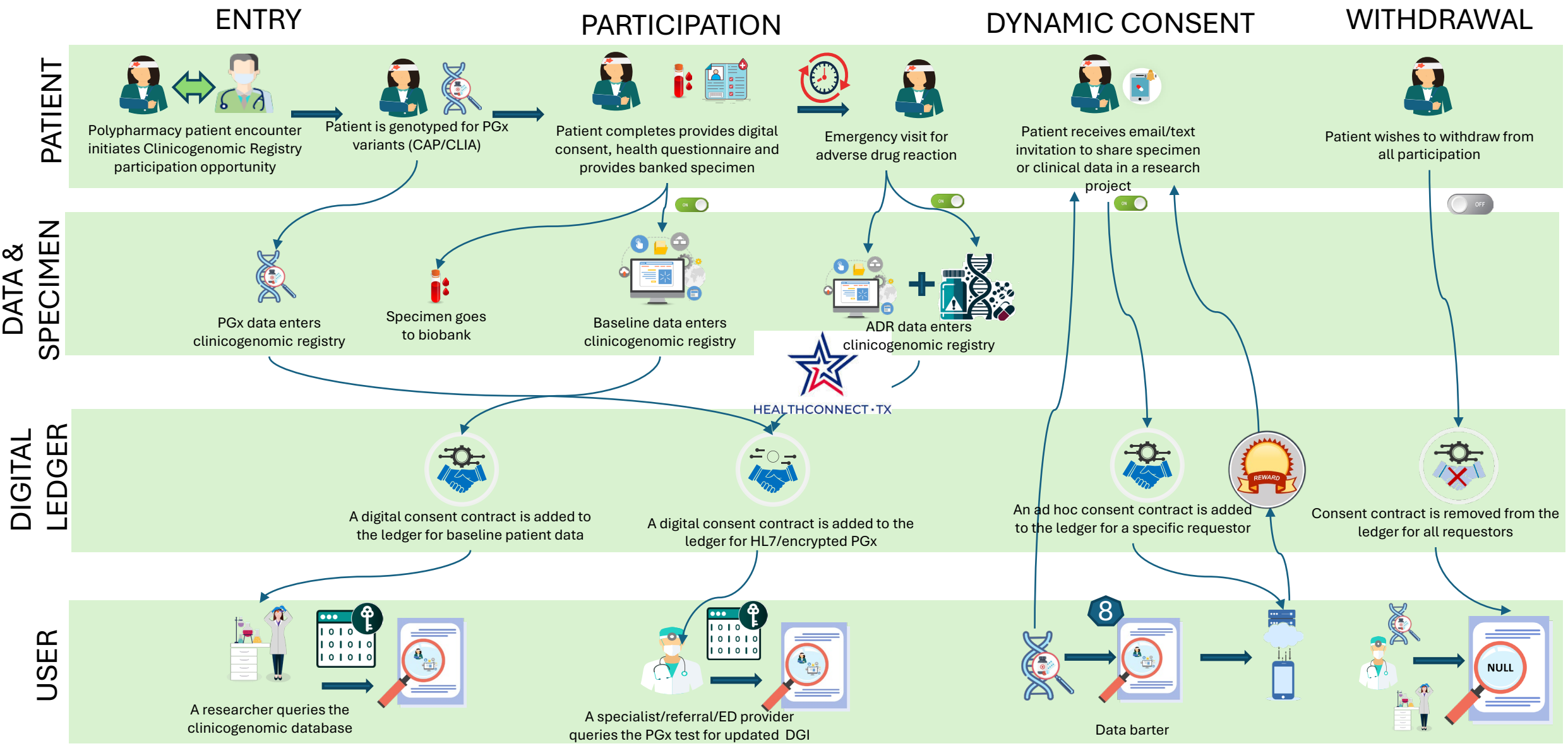


A digital consent contract is **added** to the ledger for patient data



A digital consent contract is **removed** from the ledger for patient data

PROVENANCE DATA GOVERNANCE ARCHITECTURE (PREEMPTIVE PHARMACOGENOMICS)



ClinicalTrials.gov ID NCT06726590

The PROVENANCE Ecosystem: A Blockchain-Integrated Patient & Data Journey

Visualizing the end-to-end workflow of the TAMU TREC Registry, illustrating how multimodal patient data is captured, governed via blockchain, and transformed into actionable clinical and research insights.

1. THE INPUT LAYER & PATIENT JOURNEY

MULTIMODAL DATA COLLECTION



Wearables
(HRV, Sleep, Gait, Activity, Environmental SDOH)



PGx & Genomic Data
(Pharmacogenomic sequencing, .VCF files)



Clinical Standards
(HL7CDA, SNOMED, ICD-10, LOINC, MedDRA)

PRIMARY STAKEHOLDERS & CONTRIBUTORS

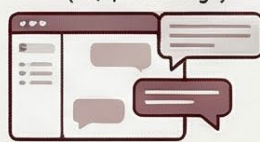


DYNAMIC CONSENT & ENTRY

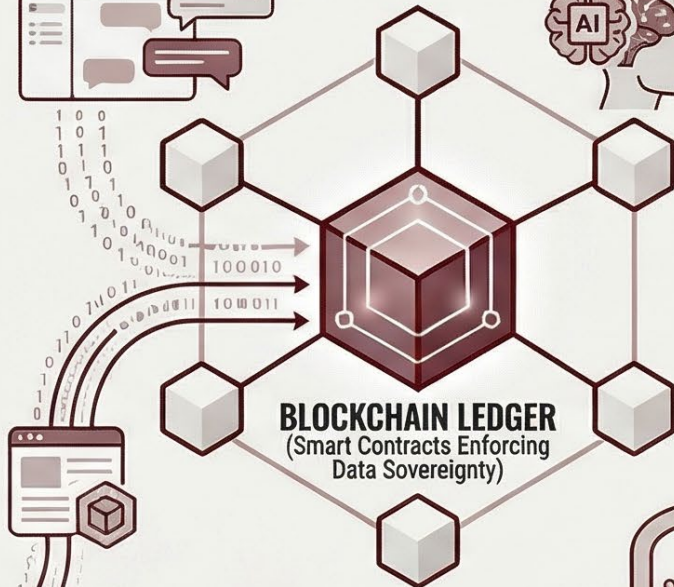
Patients enter the registry via digital consent contracts added to the blockchain ledger.

2. INTEGRITY INFRASTRUCTURE & TECH STACK

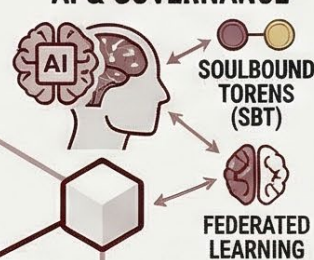
DIGITAL USER INTERACTIONS (SQL, Chat Logs)



BLOCKCHAIN INTEGRATED STACK



PROVENANCE-AWARE AI & GOVERNANCE



BLOCKCHAIN LEDGER (Smart Contracts Enforcing Data Sovereignty)

THE "DATA REFINERY" & AIRLOCK

Secure computational sandboxes (BurstIQ/Aggre STAR) de-identify data and enforce smart contract compliance.

3. INSIGHTS & HIGH-IMPACT USE CASES

CLINICAL & POPULATION APPLICATIONS



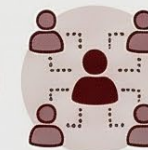
CLINICAL DECISION SUPPORT (CDS)



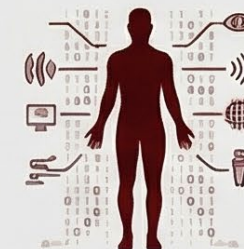
GWAS
(Genome-Wide Association Studies)



REAL-WORLD EVIDENCE



POPULATION DATA MOSAICS



THE DIGITAL TWIN FOUNDATION

Continuous sensor data and clinical annotation feed virtual replicas for predictive healthcare.

PATIENT-TO-PUBLICATION PROVENANCE



BIOBANK SAMPLE



RESEARCH



RESEARCH PUBLICATION

Transparently tracking data use from the biobank through to final research publication.



PATIENT & PUBLIC ADVOCACY



Patient-Centric Governance

Patients prioritize control over their genetic information and transparency in secondary data use (Ahmed & Shabani, 2019).



Retrograde Data Flow & Provenance

What scientific publications did my participation contribute to? What happens if researchers discover something in my genetics?



Exclusionary Rights

Patients require mechanisms to exclude data sharing with for-profit entities (Mackey et al., Rozenblit et al., 2025).



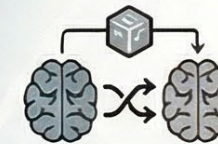
Texas A&M Health

Navigating the Healthcare Data Ecosystem: Stakeholder Needs and Governance Solutions

Effective healthcare AI and data management require a “Medical Data Element Ecosystem” (MDEE) that balances speed, breadth, and capability, addressing data sharing and privacy through smart contracts, blockchain, and multi-stakeholder engagement.



THE RESEARCH & COMPLIANCE WORKFLOW



Federated Learning

Secure Collaborative Research

Researchers use Federated Learning to train AI models without directly viewing or copying raw variables.

PERSONA-BASED USE CASE TABLE

MAPPING STAKEHOLDER QUESTIONS TO GOVERNANCE FUNCTIONS



RESEARCHER

Issue:
Federated Learning

Question:
Can I train AI models without my collaborator seeing or copying my variables?



COMPLIANCE OFFICER

Issue:
Regulatory Alignment

Question:
Is every patient in this outbound data table properly consented?



3RD PARTY AUDITOR

Issue:
Provenance

Question:
Who interacted with my shared data and what exactly was it used for?



PRIVACY OFFICER

Issue:
Compliance

Question:
Is this outbound batch of data truly de-identified?



Smart Contract Enforcement

Automated protocols can pause data access if a study becomes inconsistent with the Data Use Agreement (DUA).



Compliance & De-identification

Officers verify if outbound batches are truly de-identified and if every patient is properly consented (Hudson et al., 2008).

ACKNOWLEDGEMENTS

☐ = major contributors to Idealized ClinicoGenomic Registry

Clinicogenomics Research Programs



Dr. Kenneth Ramos



Rick Silva, PhD



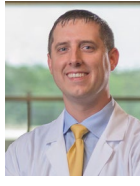
Dr. Gabriel Neal



Marcia Ory, PhD



Fen Wang, PhD



Dr. Jason McKnight

Multidisciplinary Team

15 TAMU faculty from Colleges of Medicine, Pharmacy, Public Health; Institute of Biosciences and Technology Center for Genomic and Precision Medicine; Center for Population Health and Aging, Department of Family Medicine and Population Health, Institute of Data Science.



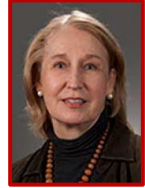
Collaborators



Clinicogenomics Collaborators



Sara Rogers, Pharm D



Deborah Volmer-Dahlke, PhD



George Udeani, Pharm D.



Dr. Nora Janjan

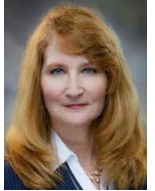


Wendy Charles, PhD
CSO, BurstiQ

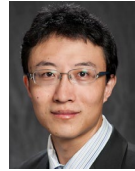
Industry partners



Digital Twins Collaborators



Paula Shireman MD



Jian Tao, PhD



Nick Duffield, PhD

Students

Julie Aponte
Filipp Voronov
Jordan Moore
Cindy Lam
Aaron Liu
Dr. Ching Chih Huang

The folks who help us get things done



Matt Walton John Pryde Linda Saenz Dee Bacote Qiang "John" He Bailey Feder

Joshua Robert
Nick Griffin
Sophie Lamothe



TREC Award RP230204



TEXAS A&M HEALTH

Institute of Biosciences
and Technology
Rick Silva, PhD, MBA, CLP
ricksilva@TAMU.edu

Institute for Bioscience and Technology
2121 W. Holcombe Blvd., Room 1016A
Mail Stop 1201
Houston, Texas 77030

TMC³
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☎ Office: (979) 436-9055

✉ clinicalresearchhou@tamu.edu
precisionmedicine@tamu.edu
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Dr. Jason McKnight

...on Clinical AI Implementation topics...

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